



Privacy Policy for Wallstreet Financial Services

1. Introduction

Wallstreet Financial Services (“we,” “us,” or “our”) is committed to protecting the privacy and confidentiality of your personal information. This Privacy Policy outlines our approach to collecting, processing, and securing personal data in compliance with the Protection of Personal Information Act (POPIA) and other applicable South African laws.

2. Statutory Disclosure

Wallstreet Financial Services is an authorized financial services provider under the Financial Advisory and Intermediary Services Act (FAIS) and is registered with the Financial Sector Conduct Authority (FSCA) under license number 50314. We adhere strictly to all regulatory requirements in our business practices.

All authorized intermediaries of Wallstreet Financial Services carry **Professional Indemnity Insurance**, providing clients with added assurance of our commitment to accountability and professionalism in the services we provide.

If you have a concern or wish to lodge a complaint, you may contact:

- **Albert Johnson, CEO of Wallstreet Financial Services**, at **011 608 3338** or **admin@wallstreetfs.co.za**.
- Alternatively, you may reach our compliance officer, **Ms. Leona Prinsloo**, at **lprinsloo@mweb.co.za**.

3. Collection of Personal Information

We collect personal information only as necessary to provide insurance, financial services, and support, including:

- Basic contact information (e.g., full name, address, email, and phone number)
- Identification details (e.g., ID number, date of birth)
- Financial and health information as required to provide specific services or coverage
- Any additional information relevant to fulfilling our services.

4. Purpose of Processing Information

We process personal information to:

- Facilitate and administer insurance and investment services
- Conduct underwriting and risk assessments



- Handle claims, service requests, and renewals
- Comply with legal and regulatory obligations
- Manage client relationships and maintain effective communication.

5. Disclosure of Personal Information

Wallstreet Financial Services does not sell, rent, or trade personal information. Personal data may be shared only when necessary, with:

- Insurance partners, underwriters, or financial institutions involved in service provision
- Regulatory or legal bodies as required by law
- Approved third-party service providers under strict confidentiality terms.

6. Security of Personal Information

We implement stringent security measures to protect personal data from unauthorized access, alteration, or disclosure. Our practices include encrypted data storage, access control protocols, and ongoing security assessments.

7. Client Rights

As stipulated under POPIA, clients have the right to:

- Access and update personal information on record
- Request deletion of data, subject to statutory retention obligations
- Object to certain data processing activities
- Lodge complaints with the Information Regulator regarding data handling.

8. Data Retention

We retain personal information only for as long as necessary to fulfil our obligations or comply with legal requirements. Personal data will be securely destroyed after a specified period or upon request when feasible and lawful.

9. Changes to this Privacy Policy

Wallstreet Financial Services may update this Privacy Policy periodically to reflect legal or operational changes. We will notify clients of any material changes where appropriate.